

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Divisional Patent Application of)
Shunpei YAMAZAKI et al.)
Based On Application No. 09/908,804) Art Unit: 2826
Which Was Filed: July 20, 2001) Examiner: K. Quinto
For: NONVOLATILE MEMORY AND)
SEMICONDUCTOR DEVICE) Date: December 3, 2003

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

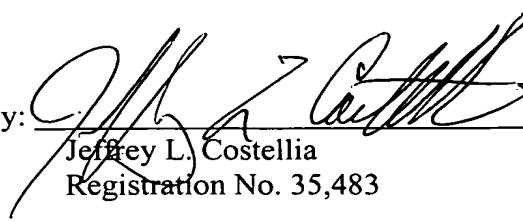
In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98.

The references listed on the attached Form PTO-1449 were cited in parent application Serial No. 09/908,804 filed July 20, 2001, from which priority is claimed under 35 U.S.C. 120.

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

By:



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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
Sheet	1	of	2	Application Number	New Application
				Filing Date	December 3, 2003
				First Named Inventor	Shunpei YAMAZAKI et al
				Group Art Unit	2826
				Examiner Name	K. Quinto
				Attorney Docket Number	740756-2672

FOREIGN PATENT DOCUMENTS							
Examiner Initials [*]	Cite No. ¹	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Office ³	Number ⁴ (if known)				Kind Code ⁵
	JP	10-065162 A		Yamazaki et al	03/06/1998	Abstract	
	JP	11-163171 A		Yamazaki	06/18/1999	Abstract	
	JP	11-163172 A		Yamazaki	06/18/1999	Abstract	
	JP	11-233789 A		Yamazaki	08/27/1999	Abstract	
	JP	11-054754 A		Yamazaki	02/26/1999	Abstract	
	JP	11-040815 A		Yamazaki et al	02/12/1999	Abstract	
OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS							
Examiner Initials [*]	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					T ²
		U.S. Patent Application No. 09/192,745 (relates to Japanese Patent Laid-Open Nos. 11-163171 and 11-163172)					
		U.S. Patent Application No. 09/129,669 (relates to Japanese Patent Laid-Open No. 11-054754)					
		U.S. Patent Application No. 09/115,094 (relates to Japanese Patent Laid-Open No. 11-040815)					
		U.S. Patent Application No. 09/192,745 (relates to Japanese Patent Laid-Open Nos. 11-163171 and 11-163172)					
		U.S. Patent Application No. 09/129,669 (relates to Japanese Patent Laid-Open No. 11-054754)					
		U.S. Patent Application No. 09/115,094 (relates to Japanese Patent Laid-Open No. 11-040815)					
		U.S. Serial No. 09/192,745; Filed 11/16/1998; Shunpei YAMAZAKI; "Nonvolatile Memory and Electronic Apparatus"					

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

2

of

2

Complete if Known

Application Number	New Application
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First Named Inventor	Shunpei YAMAZAKI et al.
Art Unit	2826
Examiner Name	K. Quinto

Attorney Docket Number

740756-2672

U.S. PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-6,111,296	08/29/2000	Yamazaki et al.	
		US-6,127,702	10/03/2000	Yamazaki et al.	
		US-6,184,556 B1	02/06/2001	Yamazaki et al.	
		US-6,198,141 B1	03/06/2001	Yamazaki et al.	
		US-6,218,714 B1	04/17/2001	Yamazaki	
		US-6,232,642 B1	05/15/2001	Yamazaki	
		US-6,307,220 B1	10/23/2001	Yamazaki	
		US-6,486,014 B1	11/26/2002	Miyanaga et al.	
		US-4,233,526	11/1980	Kurogi et al.	
		US-5,629,548	05/1997	Tamaki et al.	
		US-5,841,693	11/1998	Tsukiji	
		US-6,111,296	08/29/2000	Yamazaki et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		JP 11-150198	06/02/1999	Yamazaki		
		JP 11-163171	06/18/1999	Yamazaki		
		JP 11-163172	06/18/1999	Yamazaki		

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

Examiner Signature	Date Considered
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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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